

Partial sharing of a set of meromorphic functions and normality

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Abstract: By using the idea of partial sharing of a set of meromorphic functions by a member of a family of meromorphic functions and its k th derivative we obtain a normality criterion generalizing some of the earlier results on shared sets and normal families of meromorphic functions. Further we prove a normality criterion which improves Marty's theorem and its reverse counterpart.

Keywords: Normal families, meromorphic function, partial sharing of sets, spherical derivative, nevanlinna theory.

1 Introduction and Main Results

Let f be a nonconstant meromorphic function in the complex plane \mathbb{C} . We assume that the reader is familiar with the standard notions of the Nevanlinna value distribution theory such as $T(r, f)$, $m(r, f)$, $N(r, f)$ (see [6]). By $S(r, f)$, as usual, we shall mean a quantity that satisfies

$$S(r, f) = o(T(r, f)) \text{ as } r \rightarrow \infty,$$

possibly outside an exceptional set of finite logarithmic measure.

A family \mathcal{F} of meromorphic functions defined on a domain $D \subseteq \overline{\mathbb{C}}$ is said to be normal in D if every sequence of elements of \mathcal{F} contains a subsequence which converges locally uniformly in D with respect to the spherical metric, to a meromorphic function or ∞ (see [9]).

Two nonconstant meromorphic functions f and g defined on a domain D are said to share a set S of distinct meromorphic functions in D if $\bigcup_{\phi \in S} \overline{E}_f(\phi) = \bigcup_{\phi \in S} \overline{E}_g(\phi)$, where $\overline{E}_f(\phi) = \{z \in D : f(z) = \phi(z)\}$. However, if $\bigcup_{\phi \in S} \overline{E}_f(\phi) \subseteq \bigcup_{\phi \in S} \overline{E}_g(\phi)$, then we say that f share S partially with g and we write $f(z) \in S \Rightarrow g(z) \in S$.

Schwick [10] proved that if there exist three distinct finite value a_1, a_2, a_3 in \mathbb{C} such that f and f' share a_i , $i = 1, 2, 3$ on D for each $f \in \mathcal{F}$, then \mathcal{F} is normal in D .

Fang [3] and Liu and Pang [7] extended the Schwick's result using the idea of shared sets. They precisely proved:

Theorem 1. Let \mathcal{F} be a family of meromorphic functions in a domain D , and let a_1, a_2 and a_3 be three distinct finite complex numbers. If for every $f \in \mathcal{F}$, f and f' share the set $S = \{a_1, a_2, a_3\}$, then \mathcal{F} is normal in D .

In 2010, Chen [2] proved the following three results concerning a shared set of values:

Theorem 2. Let \mathcal{F} be a family of meromorphic functions in a domain D , and let a_1, a_2 and a_3 be three nonzero distinct finite complex numbers and let $S = \{a_1, a_2, a_3\}$. If for every $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f'(z) \in S$, then \mathcal{F} is normal in D .

Theorem 3. Let \mathcal{F} be a family of meromorphic functions in a domain D , all of whose poles are of multiplicity at least 3, let a_1, a_2 and a_3 be three distinct finite complex numbers, let $S = \{a_1, a_2, a_3\}$, and let M be a positive number. If for every $f \in \mathcal{F}$, $|f'(z)| \leq M$ whenever $f(z) \in S$, then \mathcal{F} is normal in D .

Theorem 4. Let \mathcal{F} be a family of meromorphic functions in a domain D , all of whose zeros are multiple. Let a_1 and a_2 be two nonzero distinct finite complex numbers and let $S = \{a_1, a_2\}$. If for every $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f'(z) \in S$, then \mathcal{F} is normal in D .

Chen [2] has given an example to show that the cardinality of S in Theorem 2 and Theorem 3 cannot be reduced. But in Theorem 4, as far as we know, whether the condition on the multiplicity of the zeros and that on the values in S , are essential. We give here following examples to establish that these conditions are essential.

Example 1. Consider the family

$$\mathcal{F} = \{f_n(z) = \tan nz : n = 1, 2, \dots\},$$

on the unit disk \mathbb{D} , and the set $S = \{i, -i\}$. Then each $f \in \mathcal{F}$ has simple zeros, and for every $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f'(z) \in S$. But \mathcal{F} is not normal in \mathbb{D} . Thus the condition on the multiplicity of zeros is essential in Theorem 4.

Example 2. Consider the family

$$\mathcal{F} = \left\{ f_n(z) = \frac{e^{nz}}{n} : n = 2, 3, \dots \right\}$$

on the unit disk \mathbb{D} , and the set $S = \{0, \infty\}$. Then for every $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f'(z) \in S$. But \mathcal{F} is not normal in \mathbb{D} . Thus the condition that S has nonzero finite values is essential in Theorem 4.

In this paper, we generalize these results by replacing the elements of the shared set S by distinct meromorphic functions as follows:

Let \mathcal{F} be a family of meromorphic functions in a domain D , all of whose poles are of multiplicity at least 3, and let $S := \{\phi_1, \phi_2, \dots, \phi_n\}$ be a set of n -distinct meromorphic functions in D , where $n \geq 3$.

Theorem 5. If

- (i) for a given $m \in \mathbb{N}$ and for each $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f^{(k)}(z) \in S$, $1 \leq k \leq m$, and
 - (ii) $\forall z_0 \in D$, the cardinality of the set $\{\phi_1(z_0), \phi_2(z_0), \dots, \phi_n(z_0)\}$ is at most 2 implies that $f(z_0) \neq \phi_i(z_0)$ for at least 2 functions ϕ_i (depending on f),
- then \mathcal{F} is normal in D .

Theorem 6. If

- (i) there is a constant $M > 0$ such that $|f^{(k)}(z)| \leq M$ whenever $f(z) \in S \forall f \in \mathcal{F}$, $1 \leq k \leq m$, where m is a given positive integer, and
 - (ii) $\forall z_0 \in D$, the cardinality of the set $\{\phi_1(z_0), \phi_2(z_0), \dots, \phi_n(z_0)\}$ is at most 2 implies that $f(z_0) \neq \phi_i(z_0)$ for at least 2 functions ϕ_i (depending on f),
- then \mathcal{F} is normal in D .

Example 3. [4] Consider the family

$$\mathcal{F} = \left\{ f_n(z) = \frac{n+1}{2n} e^{nz} + \frac{n-1}{2n} e^{-nz} : n = 2, 3, \dots \right\}$$

on the unit disk \mathbb{D} and set $S = \{-1, 1\}$. Then for any $f_n \in \mathcal{F}$, we have $n^2[f_n^2(z) - 1] = [f_n'(z)]^2 - 1$. Thus $f_n(z) \in S \Rightarrow f_n'(z) \in S$ and $|f_n'(z)| \leq 1$, but \mathcal{F} is not normal in \mathbb{D} . This shows that the cardinality of S in Theorem 5 and Theorem 6 cannot be reduced.

Example 4. Consider the family

$$\mathcal{F} = \{f_n(z) = nz : n = 1, 2, \dots\}$$

on the unit disk \mathbb{D} , and set $S = \{0, -1, \infty\}$. Then $f_n(0) \in S$ but $f_n'(0) \notin S$ and $|f_n'(0)| \rightarrow \infty$ as $n \rightarrow \infty$. Note that \mathcal{F} is not normal in \mathbb{D} . Thus condition (i) in Theorem 5 and as well as in Theorem 6 is essential.

Example 5. Consider the family

$$\mathcal{F} = \{f_n(z) = 2nz^2 : n = 1, 2, \dots\}$$

on the unit disk \mathbb{D} . Let $S = \{\phi_1, \phi_2, \phi_3\}$, where $\phi_1(z) = z^2$, $\phi_2(z) = z^2/2$ and $\phi_3(z) = z^2/3$. Then for every $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f'(z) \in S$ and $|f'(z)| \leq M$, where M is a positive number. However, the family \mathcal{F} is not normal in \mathbb{D} . Note that $f_n(0) = \phi_1(0) = \phi_2(0) = \phi_3(0)$. Therefore, the condition (ii) cannot be dropped in Theorem 5 and Theorem 6.

Remark.

1. If $m \geq 3$, then the conclusion of Theorem 5 and Theorem 6 hold without the condition on the multiplicity of the poles.
2. Since $|f'(z)| \leq M$ implies $f^\#(z) \leq M$, Theorem 6 generalizes Marty's theorem by taking $m = 1$.

Recently, Grahl and Nevo [5] gave the following reverse counterpart to Marty's theorem:

Theorem 7. Let some $M > 0$ be given and set

$$\mathcal{G} := \{f \in \mathcal{M}(\mathbb{D}) : f^\#(z) \geq M \text{ for all } z \in \mathbb{D}\}.$$

Then \mathcal{G} is normal in \mathbb{D} .

Here, we prove a generalization of Theorem 7 as:

Theorem 8. Let k and n be two positive integers with $k \geq 2$ and $n \geq 3$. Let \mathcal{H} be a family of meromorphic functions in a domain D , all of whose zeros are of multiplicity at least $k+1$, and let the set $S = \{\phi_1, \phi_2, \dots, \phi_n\}$, where ϕ_i ($i = 1, 2, \dots, n$) are meromorphic functions on D such that $\phi_i(z) \neq \phi_j(z)$ for $i \neq j$, $z \in D$. If, for every $f \in \mathcal{H}$,

$$f^{(k)}(z) \in S \Rightarrow f^\#(z) \geq M,$$

where $M > 0$ is a constant, then \mathcal{H} is normal in D .

The following examples show that various conditions in Theorem 8 cannot be dropped:

Example 6. Consider the family

$$\mathcal{H} = \left\{ f_n(z) = \frac{1}{nz} : n = 1, 2, \dots \right\}$$

on the open unit disk \mathbb{D} , and let $S = \{0, \infty\}$. Clearly, for every n , $f_n^{(k)}(0) \in S \Rightarrow f_n^\#(0) = n \rightarrow \infty$ as $n \rightarrow \infty$. However, the family \mathcal{H} is not normal in \mathbb{D} . Thus the cardinality of S cannot be reduced.

Example 7. Consider the family

$$\mathcal{H} = \{f_n(z) = nz^k : n = 1, 2, \dots\}$$

on the open unit disk \mathbb{D} , and let $S = \{0, 1, \infty\}$. Clearly, for every $f \in \mathcal{H}$, $f^{(k)}(z) \in S \Rightarrow f^\#(z) \geq M$, for some positive constant M . However, the family \mathcal{H} is not normal in \mathbb{D} . This shows that the condition on the multiplicity of zeros in Theorem 8 is essential.

Example 8. Consider the family

$$\mathcal{H} = \{f_n(z) = nz^3 : n = 1, 2, \dots\}$$

on the open unit disk \mathbb{D} , and let $S = \{0, 1, \infty\}$. Clearly, for every $f \in \mathcal{H}$, $f_n''(0) \in S \Rightarrow f^\#(0) = 0$. However, the family \mathcal{H} is not normal in \mathbb{D} . Therefore the condition “ $f^{(k)}(z) \in S \Rightarrow f^\#(z) \geq M$ ” is essential.

Throughout the paper, we shall denote the open disk with center at z_0 and radius r by $D(z_0, r)$ and the punctured disk by $D^*(z_0, r)$.

2 Proof of the main results

We need the following results for the proof of our main results:

Lemma 1. [8] *Let \mathcal{F} be a family of functions meromorphic in \mathbb{D} all of whose zeros have multiplicity at least m and all of whose poles have multiplicity at least p . Then, if \mathcal{F} is not normal at a point $z_0 \in \mathbb{D}$, there exist, for each $\alpha : -p < \alpha < m$,*

(i) *a real number $r : r < 1$,*

(ii) *points $z_n : |z_n| < r$,*

(iii) *positive numbers $\rho_n : \rho_n \rightarrow 0$,*

(iv) *functions $f_n \in \mathcal{F}$ such that $g_n(\zeta) = \rho_n^{-\alpha} f_n(z_n + \rho_n \zeta)$ converges locally uniformly with respect to the spherical metric to $g(\zeta)$, where $g(\zeta)$ is a non constant meromorphic function on \mathbb{C} and $g^\#(\zeta) \leq g^\#(0) = 1$.*

Lemma 2. [1] *Let \mathcal{F} be a family of meromorphic functions in a domain \mathbb{D} and let a and b be distinct functions holomorphic on \mathbb{D} . Suppose that, for any $f \in \mathcal{F}$ and any $z \in \mathbb{D}$, $f(z) \neq a(z)$ and $f(z) \neq b(z)$. If \mathcal{F} is normal in $\mathbb{D} - \{0\}$, then \mathcal{F} is normal in \mathbb{D} .*

Proof. [Proof of Theorem 5.] Since normality is a local property, it is enough to show that \mathcal{F} is normal at each $z_0 \in D$. Let $S_1 = \{\phi_1(z_0), \phi_2(z_0), \dots, \phi_n(z_0)\}$. We distinguish the following cases:

Case 1. Suppose that all the values in S_1 are finite.

Here the following subcases arise:

Subcase 1.1. When cardinality of S_1 is at least three.

Suppose that \mathcal{F} is not normal at z_0 . Then by Lemma 1, we can find a sequence $\{f_j\}$ in \mathcal{F} , a sequence $\{z_j\}$ of complex numbers with $z_j \rightarrow z_0$ and a sequence $\{\rho_j\}$ of positive real numbers with $\rho_j \rightarrow 0$ such that

$$g_j(\zeta) = f_j(z_j + \rho_j \zeta)$$

converges locally uniformly with respect to the spherical metric to a non-constant meromorphic function $g(\zeta)$ on \mathbb{C} , all of whose poles are of multiplicity at least 3, such that $g^\#(\zeta) \leq g^\#(0) = 1$ for all $\zeta \in \mathbb{C}$.

Clearly g assume at least one of the values of S_1 , otherwise g becomes constant by Picard’s theorem. Let $\zeta_0 \in \mathbb{C}$ be such that $g(\zeta_0) - \phi_i(z_0) = 0$, for some $i = 1, 2, \dots, n$. Since $g(\zeta) \not\equiv \phi_i(z_0)$, by Hurwitz’s theorem there exist a sequence of points $\zeta_j \rightarrow \zeta_0$ such that for sufficiently large j ,

$$g_j(\zeta_j) = f_j(z_j + \rho_j \zeta_j) = \phi_i(z_j + \rho_j \zeta_j) \in S.$$

By hypothesis, for every $f \in \mathcal{F}$, $f(z) \in S \Rightarrow f^{(k)}(z) \in S$ ($k = 1, 2, \dots, m$), it follows that

$$f_j^{(k)}(z_j + \rho_j \zeta_j) \in S,$$

and hence

$$g_j^{(k)}(\zeta_j) = \rho_j^k f_j^{(k)}(z_j + \rho_j \zeta_j) = \rho_j^k \phi_i(z_j + \rho_j \zeta_j),$$

for some $i = 1, 2, \dots, n$ and $k = 1, 2, \dots, m$. Therefore

$$g^{(k)}(\zeta_0) = \lim_{j \rightarrow \infty} g_j^{(k)}(\zeta_j) = 0$$

for $k = 1, 2, \dots, m$, and so ζ_0 is a zero of multiplicity at least $m + 1$ for $g(\zeta) - \phi_i(z_0)$, ($i = 1, 2, \dots, n$). Since poles of g have multiplicity at least 3, by Second fundamental theorem of Nevanlinna, we have

$$\begin{aligned} (n-1)T(r, g) &\leq \bar{N}\left(r, \frac{1}{g - \phi_1(z_0)}\right) + \bar{N}\left(r, \frac{1}{g - \phi_2(z_0)}\right) + \dots + \bar{N}\left(r, \frac{1}{g - \phi_n(z_0)}\right) + \bar{N}(r, g) + S(r, g). \\ &\leq \frac{1}{m+1} \left[N\left(r, \frac{1}{g - \phi_1(z_0)}\right) + N\left(r, \frac{1}{g - \phi_2(z_0)}\right) + \dots + N\left(r, \frac{1}{g - \phi_n(z_0)}\right) \right] + \frac{1}{3}N(r, g) + S(r, g) \\ &\leq \frac{n}{m+1}T(r, g) + \frac{1}{3}T(r, g) + S(r, g) \\ &= \frac{3n+m+1}{3m+3}T(r, g) + S(r, g), \end{aligned}$$

which is a contradiction as $n \geq 3$. Thus \mathcal{F} is normal at z_0 .

Subcase 1.2. When cardinality of S_1 is at most two.

By hypothesis (ii), $f(z_0) \neq \phi_i(z_0)$ for at least two functions ϕ_i , ($i = 1, 2, \dots, n$). So we can find a small neighbourhood, say $D(z_0, r)$ such that $\phi_i(z) \neq \phi_j(z)$ ($1 \leq i, j \leq n$) in $D^*(z_0, r)$. Thus by subcase 1.1, \mathcal{F} is normal in $D^*(z_0, r)$. Now we show that \mathcal{F} is normal at z_0 .

Since $f(z_0) \neq \phi_i(z_0)$ for at least two functions ϕ_i and each $\phi_i(z_0)$ is finite, we find that for every $f \in \mathcal{F}$, $f(z) \neq \phi_i(z)$ for at least two functions ϕ_i which are holomorphic in $D(z_0, r)$. Thus by Lemma 2, \mathcal{F} is normal at z_0 .

Case 2. Suppose one of the value in S_1 is infinite.

Without loss of generality, assume that $\phi_1(z_0) = \infty$. We take $h \notin S_1$ and consider the family

$$\mathcal{G} = \left\{ g = \frac{1}{f-h} : f \in \mathcal{F} \right\}.$$

Clearly for every $f \in \mathcal{F}$,

$$f(z_0) \in S_1 \text{ implies } g(z_0) \in S_2 = \left\{ 0, \frac{1}{\phi_1(z_0) - h}, \frac{1}{\phi_2(z_0) - h}, \dots, \frac{1}{\phi_n(z_0) - h} \right\},$$

with all the values in S_2 finite. So we can find a small neighbourhood $D(z_0, r)$ of z_0 such that

$$f(z) \in S \text{ implies } g(z) \in T = \left\{ 0, \frac{1}{\phi_1(z) - h}, \frac{1}{\phi_2(z) - h}, \dots, \frac{1}{\phi_n(z) - h} \right\}.$$

Thus by Case 1, \mathcal{G} is normal at z_0 and which in turn implies that the family \mathcal{F} is normal at z_0 .

Proof. [**Proof of Theorem 6.**] Since normality is a local property, it is enough to show that \mathcal{F} is normal at each $z_0 \in D$. Let $S_1 = \{\phi_1(z_0), \phi_2(z_0), \dots, \phi_n(z_0)\}$.

The proof is similar to that of Theorem 5 except the case when all the values in S_1 are finite, and cardinality of S_1 is at least three. So here we consider that case only.

Suppose that \mathcal{F} is not normal at z_0 . Then by Lemma 1, we can find a sequence $\{f_j\}$ in \mathcal{F} , a sequence $\{z_j\}$ of complex numbers with $z_j \rightarrow z_0$ and a sequence $\{\rho_j\}$ of positive real numbers with $\rho_j \rightarrow 0$ such that

$$g_j(\zeta) = f_j(z_j + \rho_j \zeta)$$

converges locally uniformly with respect to the spherical metric to a non-constant meromorphic function $g(\zeta)$ on \mathbb{C} , all of whose poles are of multiplicity at least 3, such that $g^\#(\zeta) \leq g^\#(0) = 1$ for all $\zeta \in \mathbb{C}$.

Clearly g assume at least one of the value in set S_1 , otherwise g becomes constant by Picard's theorem. Let $\zeta_0 \in \mathbb{C}$ be such that $g(\zeta_0) - \phi_i(z_0) = 0$, for some $i = 1, 2, \dots, n$. Since $g(\zeta) \neq \phi_i(z_0)$, by Hurwitz's theorem there exist a sequence of points $\zeta_j \rightarrow \zeta_0$ such that for sufficiently large j ,

$$g_j(\zeta_j) = f_j(z_j + \rho_j \zeta_j) = \phi_i(z_j + \rho_j \zeta_j) \in S.$$

By hypothesis, for every $f \in \mathcal{F}$, $|f^{(k)}(z)| \leq M$ whenever $f(z) \in S$ ($k = 1, 2, \dots, m$), it follows that

$$|f_j^{(k)}(z_j + \rho_j \zeta_j)| \leq M,$$

and hence

$$|g_j^{(k)}(\zeta_j)| = |\rho_j^k f_j^{(k)}(z_j + \rho_j \zeta_j)| \leq \rho_j^k M,$$

for $k = 1, 2, \dots, m$. Therefore

$$g^{(k)}(\zeta_0) = \lim_{j \rightarrow \infty} g_j^{(k)}(\zeta_j) = 0$$

for $k = 1, 2, \dots, m$, and so ζ_0 is a zero of multiplicity at least $m + 1$ for $g(\zeta) - \phi_i(z_0)$, ($i = 1, 2, \dots, n$).

Since poles of g have multiplicity at least 3, using the Second fundamental theorem of Nevanlinna we arrive at a contradiction (as obtained in the proof of Theorem 5) showing \mathcal{F} is normal at z_0 .

Proof. [**Proof of Theorem 8.**] Since normality is a local property, it is enough to show that \mathcal{H} is normal at each $z_0 \in D$. Suppose that \mathcal{H} is not normal at some point $z_0 \in D$. Then by Lemma 1, we can find a sequence $\{f_j\}$ in \mathcal{H} , a sequence $\{z_j\}$ of complex numbers with $z_j \rightarrow z_0$ and a sequence $\{\rho_j\}$ of positive real numbers with $\rho_j \rightarrow 0$ such that

$$g_j(\zeta) = \rho_j^{-k} f_j(z_j + \rho_j \zeta)$$

converges locally uniformly with respect to the spherical metric to a non-constant meromorphic function $g(\zeta)$ on \mathbb{C} , all of whose zeros have multiplicity at least $k + 1$, such that $g^\#(\zeta) \leq g^\#(0) = 1$ for all $\zeta \in \mathbb{C}$.

Clearly $g^{(k)}$ assume at least one of the value $\phi_i(z_0)$, ($i = 1, 2, \dots, n$), otherwise $g^{(k)}$ becomes constant by Picard's theorem. Let $\zeta_0 \in \mathbb{C}$ be such that $g^{(k)}(\zeta_0) - \phi_i(z_0) = 0$ for some $i = 1, 2, \dots, n$. Clearly, $g^{(k)}(\zeta) \neq \phi_i(z_0)$, for otherwise g would be a polynomial of degree at most k , which is a contradiction. By Hurwitz theorem, there exist a sequence of

points $\zeta_j \rightarrow \zeta_0$ such that for sufficiently large j , we have

$$g_j^{(k)}(\zeta_j) = f_j^{(k)}(\zeta_j + \rho_j \zeta_j) = \phi_i(z_j + \rho_j \zeta_j) \in S.$$

By hypothesis, for every $f \in \mathcal{H}$, $f^\#(z) \geq M$ whenever $f^{(k)}(z) \in S$, it follows that

$$f_j^\#(\zeta_j + \rho_j \zeta_j) \geq M,$$

and hence,

$$\begin{aligned} g^\#(\zeta_0) &= \lim_{j \rightarrow \infty} g_j^\#(\zeta_j) \\ &= \lim_{j \rightarrow \infty} \rho_j^{-k+1} (f_j)^\#(\zeta_j + \rho_j \zeta_j) \\ &\geq \lim_{j \rightarrow \infty} \rho_j^{-k+1} M \rightarrow \infty, \end{aligned}$$

which is a contradiction to the fact that g has bounded spherical derivative. Hence \mathcal{H} is normal at z_0 .

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